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| <b>TRANSMITTAL LETTER TO THE UNITED STATES<br/>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br/>CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b> |  | ATTORNEY'S DOCKET NUMBER<br>DPQ-004US        |
| INTERNATIONAL APPLICATION NO.<br>PCT/EP2003/012072  |  | INTERNATIONAL FILING DATE<br>28 October 2003 |
| TITLE OF INVENTION<br>DISPLAY DEVICE COMPRISING A DEFLECTION UNIT AND A DEFLECTION UNIT FOR A DISPLAY DEVICE                            |  | PRIORITY DATE CLAIMED<br>4 November 2002     |
| APPLICANT(S) FOR DO/EO/US<br>Leendert J. NOORDERMEER  |  |  |

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)  
10/534154

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment (**5 sheets**).
14. ☒ An Application Data Sheet under 37 CFR 1.76 (**2 sheets**).
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: PCT International Published Application (WO 2004/042767 A2) (12 sheets); PCT International Published Application (WO 2004/042767 A3) (3 sheets); Certificate of express mailing and Return Postcard

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|  |              |   |            |                                       |              |
|--|--------------|---|------------|---------------------------------------|--------------|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.55)<br><b>10/534154</b>   |              | INTERNATIONAL APPLICATION NO.<br>PCT/EP2003/012072                            |            | ATTORNEY'S DOCKET NUMBER<br>DPQ-004US |              |
| The following fees have been submitted   |              |   |            | CALCULATIONS                          | PTO USE ONLY |
| 21. <input checked="" type="checkbox"/> Basic national fee .....\$300  |              |   |            | \$ 300.00                             |              |
| 22. <input checked="" type="checkbox"/> Examination fee  |              |   |            |                                       |              |
| If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) .....\$100  |              |   |            |                                       |              |
| All other situations .....\$200  |              |   |            | \$ 200.00                             |              |
| 23. <input checked="" type="checkbox"/> Search fee   |              |   |            |                                       |              |
| Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority .....\$100   |              |   |            |                                       |              |
| International Search Report prepared and provided to the Office .....\$400   |              |   |            |                                       |              |
| All other situations .....\$500  |              |   |            | \$ 500.00                             |              |
| <b>TOTAL OF 21, 22 and 23 =</b>  |              |   |            | <b>\$ 1,000.00</b>                    |              |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. |              |   |            |                                       |              |
| Total Sheets   | Extra sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | RATE       |                                       |              |
| - 100 =  | /50 =        |   | x \$250.00 |                                       |              |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).  |              |   |            | \$ 130.00                             |              |
| CLAIMS   | NUMBER FILED | NUMBER EXTRA  | RATE       |                                       |              |
| Total claims   | 8 - 20 =     | x   | \$ 0.00    |                                       |              |
| Independent claims   | 2 - 3 =      | x   | \$ 0.00    |                                       |              |
| MULTIPLE DEPENDENT CLAIM(s) (if applicable)  |              |   | +          |                                       |              |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>   |              |   |            | <b>\$ 1,130.00</b>                    |              |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.   |              |   |            |                                       |              |
| <b>SUBTOTAL =</b>  |              |   |            | <b>\$ 1,130.00</b>                    |              |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).   |              |   |            | +                                     |              |
| <b>TOTAL NATIONAL FEE =</b>  |              |   |            | <b>\$ 1,130.00</b>                    |              |
| Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property  |              |   |            | +                                     |              |
| <b>TOTAL FEES ENCLOSED =</b>   |              |   |            | <b>\$ 1,130.00</b>                    |              |
|  |              |   |            | Amount to be refunded:                | \$           |
|  |              |   |            | Amount to be charged:                 | \$           |
| a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.   |              |   |            |                                       |              |
| b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>12-0080</u> in the amount of \$ <u>1,130.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.  |              |   |            |                                       |              |
| c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>12-0080</u> . A duplicate copy of this sheet is enclosed.                            |              |   |            |                                       |              |
| d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.               |              |   |            |                                       |              |
| <b>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status.</b>   |              |   |            |                                       |              |
| SEND ALL CORRESPONDENCE TO:  |              |   |            |                                       |              |
| Kevin J. Canning<br>LAHIVE & COCKFIELD, LLP<br>28 State Street<br>Boston, Massachusetts 02109<br>(617) 227-7400<br>Date: 4 May 2005<br>CUSTOMER NUMBER: 00959  |              |   |            |                                       |              |
|  |              |   |            | SIGNATURE:                            |              |
|  |              |   |            | Anthony A. Laurentano                 |              |
|  |              |   |            | NAME                                  |              |
|  |              |   |            | 38,220                                |              |
|  |              |   |            | REGISTRATION NUMBER                   |              |

Application No. (if known): Not Yet Assigned

Attorney Docket No.: DPQ-004US

## Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EV 466 147 325 US in an envelope addressed to:

MS PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

on 4 May 2005  
Date

  
\_\_\_\_\_  
Signature

Anthony A. Laurentano  
\_\_\_\_\_  
Typed or printed name of person signing Certificate

38,220  
\_\_\_\_\_  
Registration Number, if applicable

(617) 227-7400  
\_\_\_\_\_  
Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Transmittal Letter to the United States Designated-Elected Office (2 pages);  
Preliminary Amendment (5 pages);  
PCT International Published Application (WO 2004/042767 A2) (12 pages);  
PCT International Published Application (WO 2004/042767 A3) (3 pages);  
Application Data Sheet (2 pages);  
Certificate of Express Mailing (1 page); and  
Return Receipt Postcard